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Name (Print)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: FEURER et al. Examiner: Unassigned 1614 Application No.: 10/576,698 Group Art Unit: Filed: Confirmation No.: April 20, 2006 7161 Docket No.: 3075-011 Customer No.: 33432

For: AMINOALKYL-PYRAZINONES AND -PYRIDONES AS THROMBIN INHIBITORS

REQUEST FOR REFUND

Mail Stop 16 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

February 15, 2007

Sir:

The undersigned respectfully requests a refund of \$2,400.00 which was erroneously charged to Deposit Account No. 50-0925 on January 24, 2007 for the above-identified application.

Applicants were erroneously charged \$2,400.00 for claims in excess of 20. Due to a first Preliminary Amendment at the time of filing, there were 27 claims which were paid for at that time. Applicants then filed a Supplemental Preliminary Amendment on July 31, 2006, at which time applicants paid for 48 additional claims as evidenced by the attached Transmittal Letter Concerning Filing Under 35 U.S.C. §371 and copy of the Credit Card Payment Form dated July 31, 2006 in the amount of \$2,400.00.

Accordingly, the United States Patent and Trademark Office charge is in error. The undersigned respectfully request a refund of \$2,400.00.

Respectfully submitted,

Luke A. Kilyk Reg. No. 33,251 KILYK BOWERSOX !PLLC

PAGE 03

U.S. Patent Application No. 10/576,698 Request for Refund dated February 15, 2007

Atty. Docket No. 3075-011 KILYK & BOWERSOX, P.L.L.C. 400 Holiday Court, Suite 102 Warrenton, VA 20186

Tel.: (540) 428-1701 Fax: (540) 428-1720

Attachments: Transmittal Letter Concerning Filing Under 35 U.S.C. §371

dated July 31, 2006 (2 pages)

Copy of Credit Card Payment Form dated July 31, 2006 (1 page)

KILYK BOWERSOX PLLC

PAGE 05

PTO-1390 (Rev. 12-2004)
Tr use through 03/31/2007. OMB 0851-0021
pe; U.S. DEPARTMENT OF COMMERCE
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Under the Paperwork Reduction Act of	U.S. Patent and persons are required to respond to a collection	Approvi and Tradems of Informatic.	y use through 03/31/2007. OMB 0651-0021 be; U.S. DEPARTMENT OF COMMERCE less it displays a valid OMB control number.
TRANSMITTAL LETTER T		ATTORNEYS 3075-011	DOCKET NUMBER
DESIGNATED/ELECTED OFFICE (DO/EO/US)			CATION NO. (11 known, see 37 CFR 1.5)
CONCERNING A FILING UNDER 35 U.S.C. 371			
INTERNATIONAL APPLICATION NO. PCT/EP2004/011409	INTERNATIONAL FILING DATE October 12, 2004	October 22	DATE CLAIMED 2, 2003
TITLE OF INVENTION: AMINOALKYL-		THROMBIN	NINHIBITORS
APPLICANT(S) FOR DO/EO/US: Achim FEURER; Sara BOSIO; Stephan BULAT; Silvia CEREZO-GALVEZ; Victor Giulio MATASSA; Inge OTT; Michael Arthur PAPADOPOULOS; Claudia ROSENBAUM; Jens SCHAMBERGER; and Günther METZ			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include			
items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31).			
	eation as filed (35 U.S.C. 371 (c)(2))		
a. is attached hereto (required only if not communicated by the International Bureau).			
b. has been communicated by the International Bureau.			
c. is not required, as the application was filed in the United States Receiving Office (RO/US).			
· ·	f the International Application as filed (35		
a. is attached hereto.	·		
b. has been previously	submitted under 35 U.S.C. 154(d)(4).	:	
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
a. are attached hereto (required only if not communicated by the International Bureau).			
b. have been communic	cated by the International Bureau.		
c. have not been made;	however, the time limit for making such a	ımendments l	nas NOT expired.
d. have not been made	and will not be made.		
8. An English language translation o	f the amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3))
9. An oath or declaration of the inve		:	
10. An English language translation o Article 36 (35 U.S.C. 371(c)(5)).	f the annexes to the International Prelimin	ary Examinat	tion Report under PCT
Items 11 to 20 below concern document	(s) or information included:	•	
11. X An Information Disclosure Statem		:	
12. An assignment document for reco	rding. A separate cover sheet in compliance	e with 37 CF	FR 3.28 and 3.31 is included.
13. X A Supplemental preliminary amer	ndment.	•	
14. An Application Data Sheet.		1	•
15. A substitute specification.			
16. A power of attorney and/or addre		·	
17. A computer-readable form of the	sequence listing in accordance with PCT I	Rule 13ter.2 a	and 35 U.S.C. 1.821 – 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).			
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20. Other Items or information:			
		•	
		<u>į</u>	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to title (and by the USPTO to process) an application. Considerately is governed by 33 U.S.O. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gentering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. D.S. Patents and Tradements (Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450, if you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

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KILYK BOWERSOX PLLC

PTO-1330 (Rev. 12-2004)
Appro. ... use through 03/31/2007. OMB 0651-0021
U.S. Patent and Trademark office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (If known, see 37 CFR 1.5) PCT/EP2004/011409 3075-011 10/576,698 21. X The following fees are submitted: a) Basic national fee\$300.00 \$ 0.00 П b) Examination fee\$200.00 П \$ 0.00 c) Search fee (EPO Search).....\$400.00 \$ 0.00 П TOTAL OF ABOVE CALCULATIONS = S 0.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The see is \$250.00 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction Total Sheets Extra sheets thereof (round up to a whole number) \$0.00 100/66 = 166 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than Months from the earliest claimed \$0.00 priority date (37 CFR 1.492(e)). NUMBER FILED NUMBER EXTRA CLAIMS \$ 2,490.00 \$50.00 75 - 27 =48 Total claims \$200.00 \$ 0.00 Independent claims **S.00** \$ 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS \$ 2,400.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ 0.00 reduced by 1/2. SUBTOTAL \$ 2,400.00 Processing fee of \$130.00 for furnishing the English translation later than months from the \$ 0.00 earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$ 2,400.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 0.00 TOTAL FEES ENCLOSED \$2,400.00 Amount to be: \$ Refunded S Amount to be Charged A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. _ in the amount of \$ ____ __ to cover the above fees. b. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0925. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition togewive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO SIGNATURE: KILYK & BOWERSOX, P.L.L.C. 400 Holiday Court, Suite 102 Warrenton, VA 20186 Phone (540) 428-1701 - Facsimile (540) 428-1720 33,251 REGISTRATION NUMBER

Date: July 21, 2005 Label No. EV908959735US I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

Kint-Blum Kim Blum Name (Print)

PAGE 6/6 * RCVD AT 2/15/2007 9:59:20 AM [Eastern Standard Time] * BVR:USPTO-EFXRF-3/7 * DNIS:2736500 * CSID:5404281721 * DURATION (mm-ss):03-18

LAW OFFICES

KILYK & BOWERSOX, P.L.L.C.

Intellectual Property Law

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DATE:

February 15, 2007

TO:

Mail Stop 16

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RE:

U.S. Patent Application No. 10/576,698

Entitled: AMINOALKYL-PYRAZINONES AND -PYRIDONES

As Thrombin Inhibitors
Our Ref.: 3075-011

FROM:

Luke A. Kilyk, Esq. 2

FAC. TEL. NO.:

1-571-273-6500

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): (

Items Attached: Request for Refund - 2 pages

Attachments -- 3 pages

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Kim Blum Name (Print)

Signature

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